

PASCAL BIOSCIENCES
PHS FINANCIAL CONFLICT OF INTEREST DISCLOSURE FORM

In accordance with 42 C.F.R. Part 50, Subpart F and 45 C.F.R. Part 94, an individual who is responsible for the design, conduct, or reporting of research funded by the Public Health Service of the U.S. Department of Health and Human Services (“PHS”) must report certain financial interests held by the individual, or his or her spouse, domestic partner, or dependent children, that appear to be related to the individual’s professional responsibilities. These financial interests may be disclosed to the U.S. Government or a partner organization that receives PHS funding. All Pascal Biosciences, Inc. (Pascal) employees and third parties working with Pascal that meet the description included above must complete this form.

All financial interests that could be related to an individual’s general professional responsibilities on behalf of Pascal must be disclosed, regardless of whether this interest relates to the individual’s work under a PHS-funded project. A relevant financial interest may include, for example, an interest in or received from a pharmaceutical company, hospital, or other healthcare organization that produces products or conducts research relating to the individual’s work. An individual that participates in research into a vaccine or therapeutic for a particular disease should report financial interests in any company that is developing products to treat the disease. Reportable financial interests include, but are not limited to, salaries, payments, fees, equity interests, honoraria, stock, stock options, royalties, intellectual property rights or interests, and reimbursed or sponsored travel.

Compensation, royalties, or an interest in intellectual property received from, or ownership in, Pascal is not reportable for any individual that is employed or otherwise appointed by Pascal at the time he or she completes this form. In addition, financial interests in mutual funds, retirement accounts, and other investment vehicles over which an individual does not directly exercise control may be omitted from this form. Similarly, income relating to certain seminars, lectures, teaching engagements, or service on advisory or review committees may be omitted from this form after consulting with Pascal’s legal department.

Please provide the information requested below and retain a copy of this form for your records.

Name (First, MI, Last):	
Address:	
Title of Project:	
Grant/Contract No.:	
Telephone:	Email:

- I am a: Pascal employee
 Key personnel
 Subcontractor/sub-recipient employee
 Consultant

The term “institutional responsibilities” as used below refers to all professional responsibilities on behalf of Pascal.

<input type="checkbox"/> Yes <input type="checkbox"/> No	1a. Income and Equity Interest in any Publicly Traded Entity: Have you, your spouse or domestic partner, and/or dependent children received income or remuneration in the past 12 months from, or maintained an equity interest in, any publicly traded entity to the extent related to your professional responsibilities on behalf of Pascal and exceeding \$5,000 in the aggregate? Do not include interests in mutual funds and retirement accounts in which you do not directly control investment decisions.
<input type="checkbox"/> Yes <input type="checkbox"/> No	1b. Income Interest in any Non-Publicly Traded Entity: Have you, your spouse or domestic partner, and/or dependent children received income or remuneration in the past 12 months from any non-publicly traded entity to the extent related to your institutional responsibilities and exceeding \$5,000 in the aggregate? Do not include income from seminars, lectures, or teaching engagements sponsored by a U.S. federal, state, or local government agency, a U.S. institution of higher education or an affiliated research institute, an academic teaching hospital, or a medical center.
<input type="checkbox"/> Yes <input type="checkbox"/> No	1c. Equity Interest in Non-Publicly Traded Entity: Do you, your spouse or domestic partner, and/or dependent children currently own—or have any of the same individuals acquired in the past 12 months—any equity interest in any non-publicly traded entity to the extent related to your institutional responsibilities? Include any stock, stock option, or other ownership interest.
<input type="checkbox"/> Yes <input type="checkbox"/> No	2. Intellectual Property Rights or Interests: Have you, your spouse or domestic partner, and/or dependent children received any payments in the past 12 months for any intellectual property rights or interests (e.g., patents, copyrights, or licenses) to the extent related to your institutional responsibilities and exceeding \$5,000 in the aggregate?
<input type="checkbox"/> Yes <input type="checkbox"/> No	3. Travel: Have you received travel reimbursement or been sponsored for travel (i.e., travel expenses paid on your behalf) in excess of \$5,000 per entity in the 12 months prior to disclosure to the extent related to your institutional responsibilities? Do not include travel sponsored or reimbursed by a U.S. federal, state, or local government agency, a U.S. institution of higher education or an affiliated research institute, an academic teaching hospital, or a medical center.

In accordance with the U.S. regulations cited above, I certify that the information on this form, to the best of my knowledge and belief, is current, accurate, and complete. Furthermore, if my employment information or financial interests and arrangements, or those of my spouse, domestic partner, and/or dependent children, change from the information provided above, I will notify Pascal within 30 days of discovering a new reportable financial interest if I am a Pascal employee or 15 days of such discovery if I am not a Pascal employee. I will also update this form upon project completion. I agree to cooperate in the development of a plan to manage, reduce, or eliminate any disclosed financial interests if necessary under U.S. regulations and will comply with any conditions or restrictions imposed by Pascal.

I agree that the information reported on this form may be disclosed to the U.S. Government or to Pascal’s partners. Pascal will seek to maintain the confidentiality of this information to the extent possible.

Signature:	Date:
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